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Lung-function trajectories : relevance and implementation in clinical practice

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*Lancet Review***LUNG FUNCTION TRAJECTORIES:****RELEVANCE AND IMPLEMENTATION IN CLINICAL PRACTICE**

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53

54 **ABSTRACT**

55 Lung development starts *in utero* and continues during childhood and adolescence reaching
56 its peak in early adulthood, followed by gradual decline due to physiological lung ageing. Lung
57 function development can be altered by several host and environmental factors during the
58 life-course. As a result, a range of lung function trajectories exist in the population. Sub-normal
59 trajectories are associated with respiratory, cardiovascular, metabolic, and mental health
60 comorbidities as well as with premature death.

61 This review presents the state of the art on lung function trajectories and sets the stage for
62 the implementation of this knowledge in clinical practice as an innovative approach to detect
63 ill health early and monitor its progression of individuals, as well as to promote lung health
64 generally. Specifically, we propose that, similar to paediatric height and weight charts used
65 globally to monitor children's growth, lung function charts could be used both for children and
66 adults to monitor lung health status across the life-course. To this end, we introduce our freely
67 available online "Lung Function Tracker" tool. Finally, we discuss the challenges and
68 opportunities for effective implementation of the trajectory concept at the population level
69 and outline an agenda of the critical research needed to support such implementation.

70

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72 INTRODUCTION

73 While normal lung development starts in the first trimester of pregnancy, the lungs and
74 airways are not fully developed in newborns. They continue to grow and mature during the
75 first 20-25 years of life and, as a result, lung function assessed by spirometry peaks in early
76 adulthood (Figure 1), from where it declines due to physiological lung ageing.^{1,2} This lung
77 function trajectory potentially can be affected at any age, positively or negatively, by host
78 factors including diseases and external exposures. Indeed, research over the last few years
79 has demonstrated that, at the population level, a range of different lung function
80 trajectories can be observed with differences in the growth and/or the decline phase.³
81 Importantly, sub-normal trajectories are associated with poorer long-term health-outcomes,
82 not only respiratory (e.g. chronic obstructive pulmonary disease, COPD, the third leading
83 cause of death globally⁴) but also cardio-vascular, metabolic and mental health, as well as
84 premature death⁵, whereas above normal trajectories are associated with healthier ageing.⁶
85 These different trajectories are the result of multiple, dynamic and often cumulative gene
86 (G) – environment (E) interactions throughout the life-course (T). The term GETomics has
87 been recently proposed to highlight the importance of considering these interactions across
88 the life-course, which ultimately determine health and disease³ (Box 1).

89
90 There are still many unanswered questions related to the trajectory concept, including how
91 to prevent or reverse sub-normal trajectories, how to promote normal (or above-normal)
92 trajectories and, importantly, how to translate this recently emerged scientific knowledge
93 about lung function trajectories into clinical practice. Spirometry is not only essential for the
94 diagnosis of most respiratory diseases, but also estimates lung function as a global health
95 marker that can be used to identify apparently healthy children and adults at risk of
96 unhealthy ageing.⁷ Yet, contrary to many other potential disease markers (e.g., blood
97 pressure, cholesterol, and blood sugar levels), spirometry is rarely used in the health-care
98 community at large, outside specialized clinics, even in patients with respiratory symptoms.
99 In fact, despite calls to “*elevate lung health up the list of organ-related priorities*”, chronic
100 respiratory disease remains the “*poor cousin*” in terms of recognition, reporting and
101 research funding.⁸

102 We propose here that there is sufficient scientific evidence on lung function trajectories to
103 develop a roadmap for its implementation at both clinical and population levels (Table 1).
104 Importantly, spirometry is affordable globally including in low resource settings⁹, well-
105 standardized and non-invasive. Like the paediatric anthropometry charts (“centile charts”)
106 for height and weight that have been used by paediatricians world-wide to monitor somatic
107 growth development of children (and if growth is deviating, to initiate appropriate clinical
108 investigations) for the last fifty years, we believe that *lung function charts* capturing
109 longitudinal spirometry measures of both growth and decline also could be used in clinical
110 practice globally. As a first attempt to do so, we introduce here our freely available online
111 “Lung Function Tracker” tool (https://gli-calculator.ersnet.org/lung_tracker/). To support
112 this proposal, below we discuss: (1) the scientific state-of-the-art of the lung function
113 trajectory concept and its potential to foster interventions aimed at improving lung health
114 through the life time, thus healthier development and ageing; (2) the implications of this
115 proposal for clinical practice; (3) the need to develop and evaluate interventions that
116 incorporate the trajectory perspective at the population level to improve lung health,
117 including lung function check-up programs; and, finally, (4) implementation strategies that
118 overcome the practical challenges of adopting this approach into diverse healthcare systems
119 globally. This proposal fully aligns with the Strategic Development Goals to reduce the
120 proportion of young adults who will die from non-communicable diseases (NCDs) before
121 their 70th birthday¹⁰ by addressing the risk factors for cardiovascular disease, cancer,
122 diabetes, and chronic respiratory disease.¹¹

123

124 **THE SCIENCE BEHIND THE TRAJECTORY CONCEPT**

125 The landmark study by Lange, Celli, Agusti *et al* in 2015¹² showed the extent to which COPD
126 can develop following rapid decline of lung function in adults, the dominant paradigm across
127 the last fifty years¹³, and also when lung function does not reach its maximum peak in early
128 adulthood, even if subsequent decline is normal. This finding, together with observed
129 associations between childhood disadvantage and COPD¹⁴, has highlighted the importance
130 of understanding trajectories in health and disease.

131

132 *Lung function trajectories in population-based and clinical studies*

133 Several methods have been used in the published literature to investigate trajectories in a
134 population with repeated measures of lung function over time, including *a priori*
135 investigator-defined assignment of the individuals to longitudinal changes of mutually
136 exclusive lung phenotypes^{5,15}, statistical modelling of lung growth and/or lung decline (e.g.,
137 mixed models with random effects)¹⁶, and data-driven modelling approaches (e.g., group-
138 based modelling, latent profile analysis or latent class analysis).^{17,18} Although each individual
139 follows their own trajectory, data driven approaches identify groups of individuals following
140 similar patterns of longitudinal development of lung function in a given population.³ Most
141 studies in the *general population* have identified between two and six lung function
142 trajectories.¹⁹ The trajectories identified (in both males and females) most often include
143 “normal”, “persistently low”, “persistently high”, and “accelerated decline”. Importantly, to
144 date most studies focused on the forced expiratory volume in one second (FEV₁) value,
145 although both the forced vital capacity (FVC) and FEV₁/FVC ratio values would need to be
146 considered to untangle the prevalence, risk factors and clinical impact of different patterns
147 of lung function development.²⁰

148 On the other hand, in *clinical cohorts of adult patients with COPD*, FEV₁ decline with age is
149 highly variable. Only between 40 and 50 % of COPD patients show accelerated FEV₁ decline,
150 with associated factors being smoking, mild-moderate airflow limitation (in contrast to much
151 more attenuated decline in patients with severe COPD), frequency of exacerbations, positive
152 bronchodilator response, presence of emphysema^{21,22} and importantly, childhood
153 deprivation and disadvantage factors.^{14,23} Interestingly, COPD developed through different
154 trajectories is associated with different health outcomes, i.e. normal maximally attained
155 FEV₁ trajectory followed by rapid decline of lung function has been associated with an
156 increased risk of respiratory and all-cause mortality compared with COPD developed through
157 low maximally attained FEV₁ trajectory and mild or no decline later in life.²⁴ Other chronic
158 lung diseases, such as interstitial lung disease and primary ciliary dyskinesia, are also
159 associated with different lung function trajectories.^{25,26}

160

161 *Plasticity of individual lung function trajectories: potential for intervention*

162 In contrast to the mean *population*-derived “fixed” trajectories, the *individual* lung function
163 trajectory may change over time, either improving or declining, although the relative lung
164 function level tracks with age in most individuals (e.g., a low lung function throughout the
165 life-course).²⁷⁻²⁹ For example, there is a trajectory that starts low in early childhood but has
166 an accelerated growth in later childhood/adolescence, with lung function becoming normal
167 in adulthood (labelled as “*catch-up*”; Figure 1)). Why catch-up happens only in some children
168 is unclear and calls for research²⁷, but it clearly indicates early interventions can promote
169 lung health in infancy and adolescence. Interestingly, similar catch-up trajectories have been
170 identified for all three spirometry indices (i.e., FEV₁, FEV₁/FVC and FVC).^{17,20} Whether “catch-
171 up” may occur also in adults, either through regenerative/healing processes (e.g., in well-
172 controlled asthma or after a COPD exacerbation), or as more resilience toward decline (i.e.,
173 ‘relative catch-up’), remains to be evaluated both from an epidemiological and mechanistic
174 point of view. However, results from longitudinal studies suggest that higher physical activity
175 may attenuate smoking-related lung function decline in the adult general population³⁰ as
176 well as in patients with COPD³¹ and that weight loss may attenuate age-related decline in
177 obese individuals.³² On the other hand, normal, sub-normal and even above normal lung
178 function trajectories in children and adolescents can show “*growth failure*” (Figure 1).²⁷
179 Again, the mechanisms underlying growth failure are largely unknown although risk factors
180 have been identified (see below), but it highlights the importance of early and repeated
181 monitoring of lung function in children and adolescents.

182

183 *Interaction between early and late life risk factors: the importance of age*

184 Both the genetic susceptibility of individuals and exposure to disadvantage factors during
185 childhood (such as prematurity, low birth weight, low socio-economic status and childhood
186 deprivation, lack of breast feeding, early life tobacco and/or air pollution exposure) and
187 childhood diseases (asthma, respiratory infections and allergies) can increase the risk of sub-
188 normal trajectories from early life.¹⁹ However, it is not clear whether factors such as asthma
189 or early respiratory infections are causes or consequences of a low lung function trajectory,
190 albeit the relationship may be bi-directional.¹ Interestingly, childhood and adulthood factors
191 (e.g., smoking and adult asthma) can interact in an additive manner (“*multiple hits*”) and
192 influence life-long lung function trajectories exponentially.³

193 To allow for early and appropriate interventions, it is important to consider the age window
194 of transition towards an abnormal lung function trajectory. Childhood and adolescence are
195 periods characterized by natural lung growth, partly driven by hormonal factors, thus
196 creating a scenario that may allow individuals to “catch-up” earlier life lung function
197 impairments.^{17,29,33} Thus, it will be important to remove barriers for lung growth, such as
198 smoking and vaping, recurrent airway infections and uncontrolled or severe asthma.¹
199 Tobacco smoking and exposure to environmental tobacco smoke in youth depresses peak
200 lung function, due to impaired lung growth and airway obstruction^{1,14}, and leads to a
201 subsequently lower trajectory across the rest of their life⁵ (as well as increased risk of COPD⁴
202 and cancer³⁴). Conversely, higher physical activity levels and fat-free mass physical training
203 and healthy diet in childhood and youth have been shown to enables optimal lung
204 development and growth and which is linked to greater peak lung function values. Finally, it
205 is important to highlight that intervening in young adults, when there is more lung function
206 left to preserve than in older adults, may deliver greater long-term benefits.

207

208 *Trajectories, multimorbidity and the theory of syndemics*

209 There is evidence that a single low FEV₁ (or FVC) measurement in young adults is associated
210 with higher and earlier incidence of respiratory, cardiovascular and metabolic diseases (i.e.,
211 multimorbidity)⁵ as well as with worse quality of life³⁸ and increased mortality.³⁹

212 Multimorbidity in relation to respiratory disease is also a key finding in large-scale disease
213 trajectory analyses.⁴⁰ More granularity is obtained when longitudinal FEV₁ and FVC
214 trajectories are analysed in combination. Individuals with a mixed pattern trajectory (both
215 restrictive and obstructive) had the highest prevalence of childhood respiratory illnesses,
216 adult asthma, and depression, whereas individuals with a restrictive-only pattern had lower
217 total lung capacity and the highest prevalence of childhood underweight, adult obesity,
218 diabetes and cardiovascular conditions.²⁰ Interestingly, individuals with Preserved Ratio
219 Impaired Spirometry (PRISm, Box 1, Appendix) suffer a similar proportion of cardiovascular
220 and metabolic comorbidities as those with airflow limitation⁴¹, but individuals who recover
221 from PRISm during their adult life are no longer at increased risk.¹⁵

222 *Syndemics* proposes that diseases that cluster together in a given population act
223 synergistically.⁴² Understanding why they emerge together in certain social, temporal

224 (including age) and/or geographical contexts, and how they interact with each other can
225 enable identification of new ways to prevent and treat these conditions. Three overarching
226 characteristics define a syndemic of two or more diseases: (1) they co-occur within certain
227 contexts; (2) they interact in meaningful ways, often through biological processes but also
228 through social or psychological processes; and (3) they share one or more upstream factors
229 driving their co-occurrence and interactions. The relationship of multimorbidity with lung
230 function trajectories fulfil all these criteria⁴³, and there are at least three, overlapping
231 mechanisms that may explain the link between reduced lung function and multimorbidity.⁴⁴
232 First, they share well-established risk factors (e.g. childhood deprivation, tobacco smoking,
233 ageing, physical inactivity; potentially also genetics) and/or pathogenic mechanisms (e.g.,
234 chronic systemic inflammation, tissue hypoxia). Indeed, multimorbidity in COPD patients is
235 not random.^{45,46} For instance, obesity, insulin resistance, and atherosclerosis are associated
236 with mild-moderate COPD⁴⁷, whereas heavy smoking history, low body weight, muscle
237 wasting, osteoporosis, and arterial stiffness are linked to severe COPD, particularly with the
238 emphysematous phenotype.^{48,49} These observations may provide insights into underlying
239 mechanisms linking lung function and multimorbidity. Second, low lung function may lead to
240 lower physical activity that in turn is a risk factor for multimorbidity. Finally, growing
241 evidence indicates that multimorbidity may be the result of abnormal organ systems
242 development *in utero*⁵⁰ and early life.⁵¹ For instance, prematurity increases the risk of COPD
243 in adulthood⁵² and being born small for gestational age (an indicator of foetal growth
244 restriction) is not only associated with reduced lung volumes in young adults⁵³ but also with
245 other chronic conditions including cardiac dysfunction.⁵⁴ Collectively, this evidence suggests
246 that, in the presence of abnormal lung function, the possible co-occurrence of other
247 potential morbidities (and risk factors for poor health) should be evaluated systematically,
248 and *vice-versa*, the presence of multimorbidity should prompt lung function evaluation in
249 clinical practice.⁴³ Contributing to this syndemic approach is the fact that not only parental
250 smoking adds to impaired lung growth in children, but also that these children become more
251 frequent smokers themselves⁵⁵, further creating (synergistic) conditions for lung disease.⁵⁶ In
252 addition, to a high degree, lung function is heritable⁵⁷, meaning that low lung function in
253 parents may be passed on to their offspring (via genetic and epigenetic mechanisms).³ Thus,
254 identifying young individuals with low lung function could provide valuable information
255 about future lung (and global) health in their offspring.

256

257 **IMPLICATIONS FOR CLINICAL PRACTICE**258 *Spirometry: a reality check*

259 Spirometry is a pivotal test in any patient with respiratory symptoms and/or risk factors to
260 contribute to the establishing of a diagnosis of a respiratory disease, determine its severity
261 and guide appropriate treatment. It is a well standardised, easy to perform and an
262 inexpensive test. Yet, (1) in a real-world setting, spirometry is grossly underused⁵⁸; (2)
263 thresholds currently used to diagnose lung disease in adults (e.g., FEV₁/FVC <70%;
264 FEV₁/FVC < LLN; lower limit of normal) may not be sensitive or specific enough to identify
265 children, adolescents or young adults at risk,^{59,60} and, (3) it is unclear if established
266 treatment for adult respiratory diseases^{4,61} is necessary for asymptomatic subjects with
267 impaired spirometry or will improve respiratory and other long-term outcomes if started
268 earlier.⁵⁸ This reality check identifies important knowledge gaps that, as discussed below,
269 require and deserve research.

270

271 *Clinical practice vs. General population lung health*

272 In specialized paediatric and adult pulmonary/allergology clinics, spirometry is well-
273 established in routine care. Yet, a single spirometry measure does not provide the ability to
274 monitor and visualize lung function changes over time. The freely available online tool "*Lung*
275 *Function Tracker*" (https://gli-calculator.ersnet.org/lung_tracker/) only requires age, height,
276 sex and spirometry measures (FEV₁ and FVC in litres) to return plots of lung function level
277 and potential change over time (if repeated data are entered), along with individual-level
278 reference curves (see also Appendix 2 for details). Lung function trajectories can either be
279 mapped across the entire life-course (4-90 years) or focussed on developmental (i.e., 4-25
280 years; Figure 2A) or lung ageing periods (i.e., 26-90 years; Figure 2B). We believe that this
281 tool can be easily implemented into commercial software and electronic health records to
282 augment interpretation and dissemination of results.

283 By contrast, the identification of individuals at risk of poor future health outcomes using
284 spirometry as a population screening test is less straightforward. The implementation of any

285 population-based screening program needs to consider potential benefits and harms as well
286 as cost-benefit and health economy aspects.⁶² Jungner and Wilson proposed several criteria
287 to support a population screening test.⁶³ Importantly, most of them are clearly met here
288 (Box 2, Appendix): lung health is important, the natural history of normal and abnormal lung
289 function trajectories is now relatively well understood, and there is a sufficiently long latent
290 period where mild lung disease (or pre-disease state) is present, offering opportunities for
291 early intervention.⁵⁸ Further, potential benefits including closer health monitoring and early
292 implementation of preventive or therapeutic measures are large, whereas costs are
293 relatively low and risks are marginal, since spirometry is well standardized, non-invasive,
294 relatively easy to perform and interpret and a relatively affordable and widely available test.
295 Thus, as discussed below, the population-wide implementation of spirometry as a lung
296 health check deserves careful consideration⁷ (see Table 1 and Box 2, Appendix). Although
297 the added value of population wide screening of lung function is not yet clear,
298 implementation of lung health checks at population level may be an important first step to
299 empower individuals with knowledge about their overall health status (including *lung*
300 *health*).

301

302 *Clinical response to abnormal spirometry*

303 The detection of abnormal spirometry values should trigger a clinical response at any age,
304 including additional diagnostic work-up as needed (i.e., body plethysmography, imaging,
305 biomarkers), using a *personalized (precision) medicine approach*⁶⁴ that consider the specific
306 *treatable traits* present in that specific individual according to current guidelines.^{4,61} This
307 response should consider:

308 *(1) A thorough clinical review seeking risk factors* germane to that specific individual. These
309 may relate to long-past events, such as premature birth decades earlier, but also more
310 recent exposures, such as smoking, nutritional status, living and working environment. Both
311 undernutrition and obesity, both during childhood and adulthood, have been linked to
312 reduced life-time lung function.^{53,65} Further, a maternal pregnancy intervention trial (vitamin
313 A) conducted in a chronically undernourished population showed improved lung function in
314 offspring.⁶⁶

315 (2) Individuals travelling in a low lung function trajectory without a currently diagnosable
316 respiratory disease are at greater risk of developing these conditions subsequently.¹²
317 Therefore, their *active monitoring* with periodic lung function measurement, review of
318 symptoms and risk factor management can prevent disease development or its early
319 detection. Identification of individuals at risk of chronic disease offers the potential for
320 targeted, early interventions e.g., modifying smoking behaviour⁵⁹, encouraging physical
321 activity³⁰, minimize occupational exposure⁶⁷ and/or vaccination recommendations⁶⁸ though,
322 we acknowledge the paucity of RCTs and evidence-based recommendations for many of the
323 potential interventions.

324 (3) We currently lack the implementation of a simple tool to *effectively monitor lung function*
325 *trajectories over time*. We anticipate that the introduction of the “Lung Function Tracker”
326 tool proposed here might be a starting point for further development and optimization of
327 other lung function trajectory visualization/modelling tools and software.

328

329 **TRAJECTORY-BASED INTERVENTIONS TO IMPROVE LUNG HEALTH**

330 *Knowledge gaps and research needs*

331 Potential trajectory-based interventions and lung health check-up programs aimed at
332 improving lung health of the population will need to be rigorously developed and
333 evaluated.^{69,70} Special attention needs to be paid to those factors that can affect the validity
334 and reliability of the evaluation of different trajectories, e.g., the type of spirometry device,
335 secular trends in lung function patterns (i.e., cohort effect⁷¹), and population specific lung
336 function trends. For example, whether trajectories need to be defined by geographic region
337 following the WHO approach, a multi-ethnic approach following the GLI approach⁷², or as
338 suggested in a recent ATS statement, to use race-neutral reference equations⁷³ will need to
339 be addressed. Research needs to explore also how often spirometry needs to be measured
340 (e.g., more frequent visits for those identified at low level of lung function early), in
341 respiratory patients the potential influence of recent/ongoing exacerbation (vs. spirometry
342 during stable periods) and the need for additional measures and screening for other non-
343 pulmonary diseases. Adaptation to low-and-middle income (LMIC) countries, given the high
344 prevalence of risk factors including malnutrition, smoking, indoor pollution and infections,

345 will also be needed.⁵⁹ With a broad introduction of spirometry measures also in LMICs, there
346 is much to gain when it comes to diagnostics and treatment optimization.⁷⁴

347 A theoretically-based programme of support for promoting lifestyle change will need to be
348 developed and tested to support implementation of lung function screening (i.e., lung health
349 checks). The commonly used COM-B framework recognises that Capability, Opportunity and
350 Motivation interact to produce Behaviour change.⁷⁵ Participation in a screening programme
351 is an opportunity when feedback of lung function, supported by motivational interviewing⁷⁶
352 could trigger a decision to quit smoking, increase exercise or lose weight. Capability could
353 be enhanced by lifestyle 'apps'⁷⁷, and supported by 'very brief advice' from healthcare
354 professionals.⁷⁸

355 Finally, research on the efficacy and effectiveness of drug and non-drug interventions that
356 can help modify the trajectories is also needed. To date, only two preventive trials (on
357 bronchodilators)^{79,80} have investigated how best to arrest the progression of those who have
358 low lung function and/or symptoms prior to manifestation of COPD, which have found small
359 but promising benefits. Some methodological limitations such as lack of study power⁸⁰ and
360 not considering the baseline lung function level⁷⁹ may have affected their ability to detect a
361 clinical relevant effect. Nevertheless, both provided proof of concept that interventions
362 given before the current COPD diagnostic threshold is reached could slow progression to
363 COPD.⁵⁸ Investigating the efficacy of potential therapies stratified by trajectories may help
364 develop precision preventive approaches.

365

366 *The need for general trajectory-based interventions*

367 With all these caveats in mind, the following lung trajectory scenarios with their
368 corresponding actions/interventions can be conceived (Table 1): (1) detection of suboptimal
369 lung function levels/trajectories in early life could trigger education about risk avoidance and
370 risk modification, as well as monitoring for subsequent adverse health outcomes. We would
371 therefore propose that spirometry could be measured at schools in children between 6 and
372 10 years of age. If this first spirometry is abnormal, specific, personalized medical care
373 actions should be started including lung function tracking and clinical follow-up (Figure 3);
374 (2) abnormal spirometry in young adults (25-45 years) can identify people at risk of

375 unhealthy ageing (including COPD) at a point in time when preventive (e.g., quit smoking,
376 adjust working environment, engage in physical activity) and/or therapeutic measures can
377 be implemented earlier and are likely to be more effective than if considered in the
378 elderly.^{4,58}; finally, (3) any clustering of suboptimal trajectories within a geographical area
379 (see Syndemics above) could be a marker of, for example, pollution 'hot spots', thus leading
380 to more targeted public health interventions (e.g., urban planning or transportation policies
381 tackling high air pollution levels).

382

383 **IMPLEMENTATION STRATEGIES TO FACILITATE DEPLOYMENT OF A TRAJECTORY**

384 **PERSPECTIVE IN ROUTINE HEALTHCARE**

385 Implementation of any new intervention in routine healthcare is strongly influenced by
386 context, which determines the adaptations necessary for effective adoption of health
387 interventions in diverse healthcare systems.⁸¹ Thus, strategies to promote implementation
388 of the trajectory concept will need to address whole systems, including supporting the needs
389 of patients and the public, recognising the skills needed by healthcare personnel and
390 (crucially) the organisation change and essential infrastructure required to enable adoption.
391 Although interventions, dissemination and implementation are often described sequentially,
392 it may be more efficient to consider these phases in parallel, exploring implementation in
393 the process evaluation of pragmatic effectiveness trials, and using hybrid designs to establish
394 effectiveness.⁸²

395

396 *Shaping the context for optimal lung health*

397 National strategies aimed at promoting the development and preservation of lung health are
398 the context within which a lung-health screening programme is implemented. Societal
399 awareness of the importance of protecting children's lung health⁸³, complete avoidance of
400 tobacco smoking, improving outdoor and indoor air quality,⁸⁴⁻⁸⁶ and promoting beneficial
401 healthcare interventions (e.g., childhood vaccination programs and adequate nutrition,
402 exercise) will influence attitudes to lung health checks and the uptake of associated
403 behaviour change interventions programmes. Conversely, media campaigns, such as those
404 led by the European Lung Foundation and the European Respiratory Society ("Healthy Lungs

405 for Life⁸⁸) may be reinforced by the population-level findings of a lung-health screening
406 programme. Aligned with the Strategic Development Goals¹⁰ and WHO initiatives for
407 preventing NCDs¹¹ the universal implementation of these measures will require engagement
408 both by individuals and those responsible for shaping public health and governmental
409 policy.⁸⁷

410

411 *Patient and public resources*

412 Resources that provide information for patients and the general public to promote
413 understanding of lung function trajectories in relation to health and disease, and support
414 decisions about behaviour change (exemplified by the European Lung Foundation⁸⁸) will be
415 needed. These need to be accessible, regardless of language, age group, cultural
416 background, literacy levels or accessibility to on-line platforms.

417

418 *Professional skills and clinical requirements*

419 The professionals responsible for lung health checks will vary according to geographical
420 location such as urban vs rural and healthcare context such as primary-care vs secondary
421 care, but most will need training to achieve required skills. Respiratory specialists already
422 have the knowledge, competence, and infrastructure to ensure effective implementation of
423 the trajectory concept in clinical practice (Table 1). Education of other health care
424 professionals, including but not limited to general paediatric, general medicine and primary
425 care physicians, nurses, allied health professionals, pharmacists and school health services
426 staff will be needed.

427

428 *Organisational change and priorities*

429 Organisational strategies will need to be adapted to suit local routines and referral practices.
430 The implementation of lung function charts in clinical care globally should be followed by
431 real-world studies on feasibility and effectiveness of using lung function trajectories in

432 different settings, and clinical use will need to be adapted to local, regional and global
433 practices.⁹⁰ The introduction of “Lung function tracker” is a first step in this direction.

434

435 *Stakeholder engagement and advocacy*

436 Advocacy will be crucial as the general public, patients, health care professionals and policy
437 makers need to be bought into the concept that abnormal lung function trajectories predict
438 disease and allow earlier preventive and/or therapeutic interventions that can improve
439 respiratory as well as overall health. National and local community stakeholders should be
440 consulted and co-design implementation of a trajectory perspective in clinical practice and
441 for population respiratory health screening.

442

443 **CONCLUSIONS**

444 Despite being identified as a priority NCD, chronic lung diseases are often undetected,
445 under-reported and untreated. It is now clearly demonstrated that trajectories associate
446 with the health status across the lifespan. Specifically, sub-normal trajectories are associated
447 with poor health outcomes compared to those normal or above normal. We propose here to
448 use lung function charts to monitor trajectories of individuals to allow for prompt
449 interventions and optimized management. To this end, we introduce the “Lung Function
450 Tracker” as a freely available online trajectory tool.

451 Going forward, we propose that we are ready to start addressing the prerequisites and
452 investments needed for potential general lung health programs measuring spirometry at
453 least once in children, adolescents or early adults, and repeating it if sub-abnormal or
454 respiratory symptoms occur at a later stage.^{91,92} In an era of personalised healthcare, this
455 would be an innovative way forward to protect and improve lung health at population level
456 and promote both healthier growth and ageing globally.

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462 CADSET has created the necessary momentum and critical mass to discuss and agree on the
463 content of this manuscript.
464

465

466 **Author contributions**

467 Conceptualisation: EM, RF, SCD, JW, AA.

468 Concept feedback and development: JPA, DB, AB, AC, JGA, SG, RBK, JH, LL, FDM, SKM, PP,
469 HP, SS, LEGW, GW.

470 Software (Lung Function Tracker): EM, JH, SS, SKM, GW.

471 Writing (original draft; review and editing): All authors.

472

473 **Competing interest statement**

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486

487 **“Search strategy and selection criteria**

488 References for this Review were identified through searches of PubMed with the search
489 terms: “Trajectories, lung health, catch-up, multimorbidity, syndemics, getomics, copd, lung
490 function, spirometry” until 22nd August 2023. Articles were also identified through searches
491 of the authors’ own files. Only papers published in English were reviewed. The final
492 reference list was generated on the basis of originality and relevance to the broad scope of
493 this review.

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495

496

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- 727

728 **Table 1. Opportunities and challenges towards implementing lung function trajectories in clinical**
 729 **care, towards personalized respiratory medicine.**

730

731 Opportunities

- 732
- To educate relevant stakeholders and the community (healthcare professionals; patient and civil society organizations etc) on the existence of lung function trajectories, predictors across the life-course and future adverse outcomes (partly ongoing already).
- 734
- To acknowledge that spirometry measured early in life not only contribute to diagnosing respiratory diseases, but it is also a marker of global health that can identify individuals at risk of suffering cardiovascular and metabolic comorbidities, unhealthy ageing, and premature death.
- 738
- To use tools / software such as lung function growth charts (e.g., “Lung Function Tracker”) to facilitate the interpretation of different lung function trajectories and in the clinic guide appropriate therapeutic actions (see examples in Figure 2A-B).
- 741
- To identify new treatments, by investigating the underlying pathophysiology of different lung function trajectories and to identify predictive biomarkers that can be used to detect trajectories: genetics, biomarkers and beyond. AI-applications to be explored.
- 744

745

746 Challenges

- 747
- To obtain global engagement, also in low-income settings where spirometry may be challenging to perform.
- 748
- To liaise with healthcare providers and medical technology companies to continue developing and optimising tools, software for lung function trajectory assessment and interpretation (e.g., through new spirometry device or software).
- 751
- To assess the health economics impact of considering trajectories in clinical practice (cost and savings).
- 752
- To develop pragmatic approaches to determine lung function trajectories in the absence of past lung function measurements, including biomarkers and risk prediction algorithms.
- 754
- To do randomized clinical trials based on the trajectory of the patient (inclusion criteria) aimed at modifying it and associated clinical consequences (outcomes).
- 757
- To develop and refine digital tools to monitor respiratory health remotely.
- 759

760

761 **FIGURE LEGENDS**

762 **Figure 1.** Potential lung function trajectories in relation to age from childhood to adulthood
763 representing a high lung function trajectory (blue), normal (green) and low (orange). During
764 childhood and adolescence, catch-up (green dotted line) and growth failure (purple dotted line) may
765 occur while accelerated decline patterns can be observed in adulthood (red and black dotted
766 lines).

767 **Figure 2A-B:** Output from the “Lung Function Tracker” ([https://gli-
768 calculator.ersnet.org/lung_tracker/](https://gli-calculator.ersnet.org/lung_tracker/)) exemplified as a fictive pediatric patient followed from age 8 to
769 19 years (Figure 2A) and an adult patient followed from age 40 to 60 years (Figure 2B). In both
770 figures, the individual FEV1, FVC and FEV1/FVC ratio trajectories are visualized, respectively.

771 **Figure 3:** Proposed algorithm to guide actions following spirometry testing/screening in children,
772 adolescents or adults.

773

774

775

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BOX 1.**Spirometry – what are we measuring?**

Spirometry is the standard test to measure lung function (i.e. how well the lungs work). Main lung function parameters are forced expiratory volume in the first second (FEV₁, measuring how fast the air can be expelled), the forced vital capacity (FVC, measuring how much air can be expelled from the lungs), and their ratio (FEV₁/FVC, measuring the degree of airflow limitation) A reduced FVC may indicate restrictive impairment whereas reduced FEV₁/FVC ratio diagnoses the presence of airflow limitation. A reduction in any one of these measures has been associated with poor health outcomes later in life. While a simplified spirometry test to register FEV₁ only may increase feasibility and practical implementation, as it does not need the full expiration to measure FVC, it would limit the overall assessment of lung health.

Beyond spirometry - what could be missed with spirometry?

Although spirometry is a robust tool to measure lung health (and general health) that can be useful to rule-in (but not necessarily to rule-out) lung disease, it is not the most sensitive test to identify early manifestations of lung disease. Nevertheless, most long-term studies are based on spirometry, and while there are other pulmonary function tests that are easier to perform and more sensitive to early lung disease (e.g., forced oscillometry¹), these are yet to become widely available.

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801 **APPENDIX BOX 1.**

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DEFINITIONS

- **GETomics:** Term aimed to describe omics information in relation to cumulative gene (G) x Environment (E) interactions over Time (T).
- **Lung function trajectory:** a lung function path followed over the life-course by an individual or a population.
- **Preserved ratio impaired spirometry (PRISm):** a normal ratio of forced expiratory volume in 1 second to forced vital capacity (FEV1/FVC ≥ 0.70) but FEV1 less than 80% of predicted.
- **Syndemics:** Term to refer to diseases that cluster together and act synergistically.
- **Trajectome:** Range of lung function trajectories that exist in the population.

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804 **APPENDIX BOX 2.**

<i>Wilson and Jungner's principles of screening</i>	Applied to the trajectory concept to prevent chronic respiratory disease?
The condition sought should be an important health problem.	Yes, COPD affects 10% of the adult population; asthma affects 10% of both children and adults
The natural history of the condition, including development from latent to declared disease, should be adequately understood.	Yes, trajectory science summarized above.
There should be a recognizable latent or early symptomatic stage.	Yes, the pre-COPD phase when the ratio is preserved; mild asthma is well-known.
There should be a suitable test or examination.	Yes, spirometry
The test should be acceptable to the population.	Yes, non-invasive
There should be an agreed policy on whom to treat as patients.	Need a consensus and guidelines on how to treat pre-COPD. Guidelines exist for asthma.
There should be an accepted treatment for patients with recognized disease.	Yes, asthma and COPD treatment guidelines exist.
Facilities for diagnosis and treatment should be available.	Yes.
The cost of case-finding (including diagnosis and treatment of patients diagnosed) should be economically balanced in relation to possible expenditure on medical care as a whole.	Need cost-benefit calculations in different regions of the world and in different settings.
Case-finding should be a continuing process and not a "once and for all" project.	Need to engage with healthcare providers.
The condition sought should be an important health problem.	Yes, high disease burden and mortality.

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Appendix Table 1. Considerations for the roadmap to improve lung health		
Considerations	Questions for intervention development	Questions for implementation strategies
Context	<ul style="list-style-type: none"> • What support services are available? Smoking cessation services? Additional investigation? Access to pharmacotherapy? 	<ul style="list-style-type: none"> • What are the (diverse) settings in which a lung health screening program could be introduced? • What is the public health context? Would targeting screening in high-risk populations be more cost effective? • What incentives are available to support implementation? • What aspects of the policy context need to be addressed? Tobacco legislation? Air-quality regulation?
Patients and the public	<ul style="list-style-type: none"> • What information will be needed for patients the public? • How can information be presented to optimize behavior change? • How do patients and the public view the prospect of lung health checks? • How to patients and the public (children, adolescents, adults, elderly) feel about the experience of lung health screening? 	<ul style="list-style-type: none"> • What public awareness campaigns can be implemented effectively and sustained? How to engage civil society and community organizations? • What formats of information are required to ensure no-one is disadvantaged? • What are the societal implications of having abnormal lung function detected at screening? (e.g. on career options in adolescents; life insurance or travel insurance for adults?)
Healthcare professionals	<ul style="list-style-type: none"> • What behavior change interventions are feasible and effective to deliver at the time of the lung health check? • What is the appropriate clinical response to abnormal spirometry in children, adolescents, adults, elderly? 	<ul style="list-style-type: none"> • Who are the appropriate personnel? Specialist care, primary care, lung physiology services, school health services, community health workers etc • What professional training is needed? • How can use of lung function charts be facilitated?
Organisations	<ul style="list-style-type: none"> • What are the time and resource implications of delivering a lung health check and the subsequent follow up? • What models of screening are optimal? Full quality assured spirometry, or screening FEV₁/FVC with hand-held meters? 	<ul style="list-style-type: none"> • What organizational infrastructure will be needed to operate a screening program? • Is one reading sufficient? How will longitudinal screening programs be organized at population level? How will a lifetime of readings be collated on centile charts? • What pathways are needed for arranging further tests of specialist review?

809 **Appendix 2:**

810 **Methods, “Lung Function Tracker (*for review only*)**

811

812 The Lung Function Tracker is a freely available tool designed for monitoring and visualization of
813 individual lung function changes over time. The tool requires individual-level data input, including
814 age, height, sex, ethnicity, and spirometry measurements (FEV1, and FVC in liters). In return, it
815 provides information about the lung function levels and potential changes (if multiple data points are
816 provided) with individual-based lung function value reference curves. The individual-level reference
817 curves included in the output plots are calculated based on the GLI lung function equations [1] and
818 WHO height curves [2, 3].

819 The Lung Function Tracker allows users to map and plot lung function across the entire life-course.
820 Overall, two kinds of outputs can be selected by the users; lung function values (FEV1, FVC and
821 FEV1/FVC values, respectively) or GLI z scores. For the output, the users can illustrate the individual
822 lung function trajectory during the entire life-course (from 4 to 90 years), or during the lung
823 developmental period (from 4 to 25 years) or the lung aging period (from 26 to 90 years).

824 The Lung Function Tracker assumes that changes in height z-scores follow a linear trend from the
825 ages of 4 to 19 years and that changes in height values follow a linear trend from 19.1 to 90 years.
826 During the ages of 4 to 19 years, Lung Function Tracker uses WHO height curve to convert height
827 values into z-scores, linking the z-scores with lines consequently to generate a z-score curve, and
828 then changing the z-score curves back to height values curves. For individuals aged 19.1 to 90 years,
829 the height values were linked with lines to generate the height curves, and then the height curves
830 were smoothed. Subsequently, the GLI equation is employed to calculate the lung function values
831 corresponding to the height value curves, enabling the calculation of reference curves.

832 The Lung Function Tracker will be freely available at https://gli-calculator.ersnet.org/lung_tracker/
833 upon publication of the manuscript.

834

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847 Screenshot from the Lung Function Tracker website:

Lung Function Tracker

Welcome to Lung Function Tracker (LUNGTRACKER.V1.1)

This freely available tool is designed to monitor and visualize lung function change over time in children and adults. Please enter individual-level data as age, height, sex, ethnicity and spirometry measures (FEV1 and FVC in liters) or upload excel file to return lung function level and potential change (if reported data are entered) along with individual-level reference curves (based on GLE Lung function data, CLE Data and WHOIS health survey, WHOIS Health Survey). Lung function can be mapped and plotted for any age across the life course (± 90 years). Users can focus on specific developmental periods (i.e. 4-25 years), or periods of decline (i.e. 26-90 years). [Click here](#) for more information about the Lung tracker tool and [here](#) for the related publications.

Select Figure Age Range

- Lung function growth chart (4-25 years)
- Lung function decline chart (26-90 years)
- All ages, non values (4-90 years)
- All ages, z scores (4-90 years)
- Set the Minimum and Maximum age

Sex

- Male
- Female

Ethnicity

European

Change the lower limit reference

Spirometry and measure values

Age (years)	Height (cm)	FEV1 (L)	FVC (L)
Age (years)	Height (cm)	FEV1 (L)	FVC (L)

Upload Excel File

No file selected

Use test a reference

Your input data will not be stored on the server and not used for any other purposes.

Graph: A line graph showing lung function metrics (FEV1, FVC) over age (years) from 0 to 90. The y-axis represents lung function in liters (L) on a logarithmic scale from 0.1 to 100. The x-axis represents age in years from 0 to 90. Multiple colored lines represent different lung function trajectories, showing growth in early years and decline in later years.

Agosti A, Taver B. Lung function trajectories in health and disease. Lancet Respir Med. 2019 Apr;7(4):338-344 (2019)

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KAROLINSKA I

Robust app developed by Susana Kabele Mendi, Gang Wang

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Lung Function Tracker

Select Figure Age Range

- Lung function growth chart (4-25 years)
- Lung function decline chart (26-90 years)
- All ages, non values (4-90 years)
- All ages, z scores (4-90 years)

Sex

- Male
- Female

Ethnicity

European

Change the lower limit reference

Spirometry and measure values

Age (years)	Height (cm)	FEV1 (L)	FVC (L)
1.1	122	2.02	2.2
Age (years)	Height (cm)	FEV1 (L)	FVC (L)
11.5	145	3.36	4
Age (years)	Height (cm)	FEV1 (L)	FVC (L)
19	164	4.9	5.8

Upload Excel File

No file selected

Use test a reference

Your input data will not be stored on the server and not used for any other purposes.

Graphs: A grid of four line graphs showing lung function metrics (FEV1, FVC) over age (years) for different age ranges: 4-25 years, 26-90 years, and two all-ages views. Each graph shows individual-level data points and reference curves. The y-axis represents lung function in liters (L) on a logarithmic scale from 0.1 to 100. The x-axis represents age in years from 0 to 90.

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